

# Binary Search Trees

Data and File Structures Laboratory

<http://www.isical.ac.in/~dfs/lab/2020/index.html>

## Definition

Binary tree in which following property holds for all nodes:

- *key values in left subtree are less than key value in the node*
- *key values in right subtree are greater than key value in the node*

## Main operations

- Insertion
- Search
- Deletion

## I/O operations

- Read / construct
- Print

## Auxiliary operations

- Find successor
- Find predecessor

# Binary tree implementation (from earlier class)

- All nodes of the tree are stored in a list.
- Each node is a dictionary with 3 or 4 keys: data, left, right, parent (optional).
- For a node, 'left' and 'right' store the indices of the left and right child of that node.
- If a node has no left / right child, the corresponding field is set to -1.

# Binary tree implementation: revisited

```
class Node():
    """
    A single node in a binary tree.
    Attributes: data, left, right, parent.
    """

    def __init__(self, data, left, right, parent):
        self.data = data
        self.left = left
        self.right = right
        self.parent = parent
```

# Binary tree implementation: revisited

```
class BinaryTree():  
    """  
    Binary tree, with nodes stored in a list.  
    left, right, parent attribute of each node contains the index in  
    the list of the left / right child / parent node.  
    If a node has no left / right child, the corresponding field is  
    set to -1.  
    """  
  
    def __init__(self, capacity):  
        self.capacity = capacity  
        self.nodelist = [ Node(0, -1, -1, -1) for i in range(capacity) ]  
        self.num_nodes = 0  
        self.root = -1
```

instead of dictionary keys

pre-allocate a list, so that we don't have to call `append` all the time

# Binary search trees

```
class BinarySearchTree(BinaryTree):
    # If no init is provided, the init inherited from the superclass'
    # will be called. Since that is all we're doing here, we don't
    # really need this __init__.
    # NOTE: If we do provide an __init__, that init will not
    # automatically call super().__init__()
    def __init__(self, capacity):
        super().__init__(capacity)

    def search(self, start_index, d) :
        if start_index == -1 : return -1
        if d < self.nodelist[start_index].data :
            return self.search(self.nodelist[start_index].left, d)
        elif d > self.nodelist[start_index].data :
            return self.search(self.nodelist[start_index].right, d)
        else : return start_index
```

# BST insertion

```
def insert(self, start_index, d) :
```

```
...
```

```
current_value = self.nodelist[start_index].data
if d < current_value :
    # insert recursively in left if less
    left = self.nodelist[start_index].left
    self.nodelist[start_index].left = self.insert(left, d)
elif d > current_value :
    # insert recursively in right if more
    right = self.nodelist[start_index].right
    self.nodelist[start_index].right = self.insert(right, d)
# ignore if present
return start_index
```

# BST insertion

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```

```
...
```

```
current_value = self.nodelist[start_index].data
if d < current_value :
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elif d > current_value :
    # insert recursively in right if more
    right = self.nodelist[start_index].right
    self.nodelist[start_index].right = self.insert(right, d)
# ignore if present
return start_index
```

Why do we need an assignment instead of just a recursive call?



# BST insertion

```
def insert(self, start_index, d) :
```

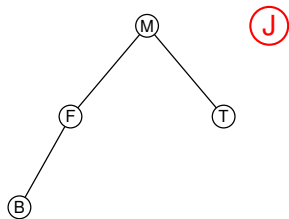
```
...
```

```
current_value = self.nodelist[start_index].data
if d < current_value :
    # insert recursively in left if less
    left = self.nodelist[start_index].left
    self.nodelist[start_index].left = self.insert(left, d)
elif d > current_value :
    # insert recursively in right if more
    right = self.nodelist[start_index].right
    self.nodelist[start_index].right = self.insert(right, d)
# ignore if present
return start_index
```

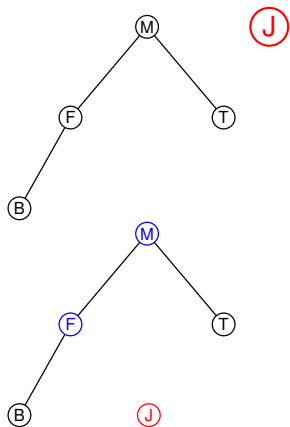
Why do we need an assignment instead of just a recursive call?

Answer: because insertion generally results in a new node being created. The index of this new node within `nodelist` will be the new left / right child of some node, or the new root (for the first insertion into an empty tree). See following example.

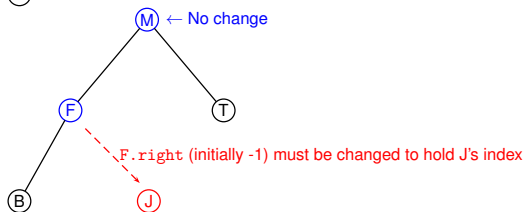
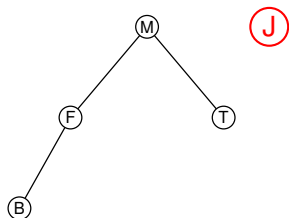
# BST insertion (contd.)



## BST insertion (contd.)



# BST insertion (contd.)



# BST insertion (contd.)

```
def insert(self, start_index, d) :
    if start_index == -1 : # empty tree or have reached insertion point
        if self.capacity == self.num_nodes : # self.nodelist is full
            self.nodelist = self.nodelist + \
                [ Node(0, -1, -1, -1) for i in range(capacity) ]
            self.capacity *= 2
        new_node_index = self.num_nodes
        self.nodelist[new_node_index].data = d
        self.num_nodes += 1
```

Let  $X$  be the node to be deleted.

**Case I**  $X$  is a leaf node.

Simply delete  $X$ .

**Case II**  $X$  has one child.

Replace the link to  $X$  with a link to its only child.

**Case III**  $X$  has 2 children.

1. Find  $S$ , the successor of  $X$  (node with smallest key in right subtree of  $X$ ).
2. Replace the value in  $X$  by the value in  $S$ .
3. Delete node  $S$  from the tree (see Cases I and II above).

May also use  $X$ 's predecessor, the largest key in left subtree of  $X$  in a similar fashion.

# BST deletion: helper function

```
def detach_successor(self, start_index) :
    assert start_index != -1
    # Go to right child, then as far left as possible
    child = self.nodelist[start_index].right
    # If this function has been called, node has both children
    assert child != -1

    if self.nodelist[child].left == -1 :
        self.nodelist[start_index].right = self.nodelist[child].right
        return child

    while self.nodelist[child].left != -1 :
        current_node = child
        child = self.nodelist[child].left

    self.nodelist[current_node].left = self.nodelist[child].right
    return child
```

# BST deletion

```
def delete(self, start_index, d) :
    if start_index == -1 : # not found
        print(d, " not found")
        return None
    current_value = self.nodelist[start_index].data
    if d < current_value :
        # delete recursively from left if less
        # print("Deleting", d, " from left subtree")
        left = self.nodelist[start_index].left
        status = self.delete(left, d)
        if status != None :
            self.nodelist[start_index].left = status
    elif d > current_value :
        # delete recursively from right if more
        # print("Deleting", d, " from right subtree")
        right = self.nodelist[start_index].right
        status = self.delete(right, d)
```

...



## BST deletion (contd.)

```
else : # found it
    self.num_nodes -= 1
    print("Found", d)
    # Case I: value found at leaf node, just delete
    if self.nodelist[start_index].left == -1 and \
        self.nodelist[start_index].right == -1 :
        print("Deleting leaf node", d)
        return -1
    # Case II: only one child
    if self.nodelist[start_index].left == -1 :
        print("Deleted node has only one child")
        return self.nodelist[start_index].right
    if self.nodelist[start_index].right == -1 :
        print("Deleted node has only one child")
        return self.nodelist[start_index].left
    # Case III: both sub-trees present
    s = self.detach_successor(start_index)
    print("Successor:", self.nodelist[s].data)
    self.nodelist[start_index].data = self.nodelist[s].data
return start_index
```