

## Lecture 6: Recursion

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## 1 Recursion

In this lecture we shall study the methods of solving equations of the following form:

$$T(n) = 2T(\lceil \frac{n}{2} \rceil) + n, T(0) = 1 \text{ and } T(1) = 0 \quad \forall n \in \mathbb{Z}^+ \quad (1)$$

$$F(n) = F(n-1) + F(n-2), F(0) = 0 \text{ and } F(1) = 1 \quad \forall n \in \mathbb{Z}^+ \quad (2)$$

$$S(n) = S(n-1) + n \text{ and } S(0) = 2 \quad \forall n \in \mathbb{Z}^+ \quad (3)$$

$$C(n) = C_0C_{n-1} + C_1C_{n-2} + \dots + C_{n-2}C_1 + C_{n-1}C_0 \text{ and } C_0 = 1 \quad \forall n \in \mathbb{Z}^+ \quad (4)$$

These kind of equations are known as **Recurrence relations**. In general the method of solving these equation involves guessing a viable solution and then proving its validity by method of induction.

### 1.1 Examples

**Example 1:** This example illustrates the approach for solving (3).

Expanding the R.H.S of (3) we obtain  $S(n) = S(n-1) + n = S(n-2) + (n-1) + n$ . Hence we can guess  $S(n) = S(n-i) + (n-(i-1)) + \dots + n$  for some  $i \leq n$ . Putting  $i = n$  and using  $S(0) = 2$  we guess that  $S(n) = 2 + (1 + 2 + 3 + \dots + n) = 2 + n(n+1)/2$ . Now the method of induction is used to prove that  $S(n) = 2 + n(n+1)/2$  where  $S(0) = 2$  formally, which is left as an exercise.

**Example 2:** In this example we attempt to find an exact solution of (1). If  $n = 2^l$  for some  $l \in \mathbb{Z}^+$  then  $T(n) = T(\lceil \frac{n}{2} \rceil) + n = 2T(\frac{n}{2}) + n = 4T(\frac{n}{4}) + 2n = \dots = 2^i T(\frac{n}{2^i}) + in$  for some  $i \in \mathbb{Z}^+$ . When  $i = l$ ,  $t(n) = 2^l T(\frac{n}{2^l} + ln) = nT(1) + n \log n = n + n \log n$  as  $T(1) = 1$ . More generally, we guess  $T(n) = n + n \lceil \log n \rceil$  and prove this closed form solution by Method of Induction. It is noteworthy that, we could have also guessed  $T(n) = 2^{\lceil \log n \rceil} + n \lceil \log n \rceil$  i.e our guess may not be unique or viable solution always.

## 2 Asymptotic Notation

From the foregoing discussion it is evident that deriving exact solution for a recurrence is in general not feasible. Yet we can derive upper bounds and lower bounds for many recurrences. To notationally represent these we introduce the notion of asymptotic behavior.

### 2.1 Asymptotic Similarity

Two functions  $f(n)$  and  $g(n)$  are said to be asymptotically similar if  $\lim_{n \rightarrow \infty} f(n)/g(n) = 1$ . This is notationally written as  $f(n) \sim g(n)$ .

### 2.2 $\Theta$ -notation

A function  $f(n)$  is said to belong to  $\Theta(g(n))$  if  $\exists c_1, c_2, N_1, N_2$  such that  $\forall n > N_1, f(n) \leq c_1 g(n)$  and  $\forall n > N_2, g(n) \leq c_2 f(n)$ . This is notationally written as  $f(n) = \Theta(g(n))$ .

### 2.3 $\mathcal{O}$ -notation

A function  $f(n)$  is said to belong to  $\mathcal{O}(g(n))$  if  $\exists c_1, N_1$  such that  $\forall n > N_1, f(n) \leq c_1 g(n)$ . This is notationally written as  $f(n) = \mathcal{O}(g(n))$ .

### 2.4 $\Omega$ -notation

A function  $f(n)$  is said to belong to  $\Omega(g(n))$  if  $\exists c_1, N_1$  such that  $\forall n > N_1, f(n) \geq c_1 g(n)$ . This is notationally written as  $f(n) = \Omega(g(n))$ .

### 2.5 $o$ -notation

A function  $f(n)$  is said to belong to  $o(g(n))$  if  $\lim_{n \rightarrow \infty} f(n)/g(n) = 0$ . This is notationally written as  $f(n) = o(g(n))$ .

### 2.6 $\omega$ -notation

A function  $f(n)$  is said to belong to  $\omega(g(n))$  if  $\lim_{n \rightarrow \infty} g(n)/f(n) = 0$ . This is notationally written as  $f(n) = \omega(g(n))$ .

### 2.7 Examples

The following are some simple examples of usage of these notations:

- $T(n) \geq \frac{1}{10}n \log n \Rightarrow T(n) = \Omega(n \log n)$
- $T(n) \leq 10n \log n \Rightarrow T(n) = \mathcal{O}(n \log n)$

It follows that in the above scenario  $T(n) = \Theta(n \log n)$ . Now we prove that for relation (1),  $T(n) = \mathcal{O}(n \log n)$

*Proof.* It is given that  $\exists c, N_0$  such that  $\forall n > N_0$ ,  $T(n) \leq 2T(\lceil \frac{n}{2} \rceil) + cn$ . WTS,  $\exists d, N_1$  such that  $\forall n > N_1$ ,  $T(n) \leq n \log n$ . Let  $T(n) \leq dn \log n$ . By Induction Hypothesis  $T(n) \leq 2(d\lceil \frac{n}{2} \rceil \log \lceil \frac{n}{2} \rceil) + cn$ . Since,  $d(n+1) \log \frac{2n}{3} + cn \geq 2(d\lceil \frac{n}{2} \rceil \log \lceil \frac{n}{2} \rceil) + cn$  as  $\lceil \frac{n}{2} \rceil \leq \frac{2n}{3}$ , we have  $2(d\lceil \frac{n}{2} \rceil \log \lceil \frac{n}{2} \rceil) + cn \leq d(n+1) \log n - d(n+1) \log \frac{3}{2} + cn = dn \log n + [d \log n - d(n+1) \log \frac{3}{2} + cn] \leq dn \log n$  whenever  $d \log n - d(n+1) \log \frac{3}{2} + cn < 0$ . Hence, for  $d > cn / [(n+1) \log \frac{3}{2} - \log n] \geq 3c$  the condition  $T(n) \leq dn \log n$  is satisfied. Thus the Induction Hypothesis holds.  $\square$